



CLEARLY ERRONEOUS ERROR REVIEW OR APPEAL

MIAX PEARL Equities Members seeking a Clearly Erroneous Error Review or Appeal must provide the following information to the Regulatory Division at Regulatory@MIAXEquities.com.

- This form must be submitted within thirty (30) minutes of execution time (for Clearly Erroneous reviews) or ruling time (for Appeals) and contain the information requested below.

Select Exchange(s) (required):	MIAX Pearl Equities Exchange
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1. Date:_____	2. Firm Name:_____
3. MPID(s):_____	4. Contact Name:_____
5. Phone Number:_____	6. E-mail:_____
7. Type of Review: Clearly Erroneous Appeal	
8. If adjustment is preferred over bust, indicate preferred price:_____	
9. Time of Execution (ET):_____	10. Equity Security(ies):_____
11. Trade Size:_____	12. Price:_____
13. Additional Notes: _____ _____	

For multiple errors, attach an Excel spreadsheet containing the information above.

[Click to Attach E-Mail](#)

If the e-mail attachment button does not work, please save the completed form and copy/attach to an email to Regulatory@MIAXEquities.com.

MIAX Regulatory Department: 609-897-7309