Continuous Quote Relief Form

MIAX Options Exchange
MIAX Pearl Options Exchange
MIAX Emerald Options Exchange

If a technical failure or limitation of a system of the Exchange prevents a Market Maker from maintaining, or prevents a Market Maker from communicating to the Exchange, timely and accurate electronic quotes in a class, the duration of such failure shall not be considered in determining whether the Market Maker has satisfied the quoting standard with respect to that option class. The Exchange may consider other exceptions to this continuous electronic quote obligation based on demonstrated legal or regulatory requirements or other mitigating circumstances.

Market Makers seeking relief from the continuous quoting standard must provide the following information to the Regulatory Division at Regulatory@MIAXOptions.com as soon as practical during the current trading session.

Select Exchange(s) (required): ☐ MIAX ☐ MIAX Pearl ☐ MIAX Emerald

1. Date:
2. Firm Name:
3. MPID(s):
4. Contact Name:
5. Phone Number:
6. E-mail:
7. Underlying(s):
8. Session Requested:
9. Request Reason:
10. Beginning Relief Time:
11: End Relief Time:
12. Summary of Issue:

Click to attach to e-mail

If the e-mail attachment button does not work, please save the completed form and attach it to an e-mail to Regulatory@MIAXOptions.com.

MIAX Regulatory Department: 609-897-7309

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