

MIAX Options Exchange Regulatory Circular 2023-08
MIAX Pearl Options Exchange Regulatory Circular 2023-06
MIAX Emerald Options Exchange Regulatory Circular 2023-07
MIAX Pearl Equities Exchange Regulatory Circular 2023-04

DATE: February 14, 2023

TO: MIAX Exchange Members

FROM: MIAX Exchange Group Regulatory Department

RE: Amendment to Rule 1308, Supervision of Accounts, to Extend the Temporary Remote Inspection Relief for Members to Complete Their Branch Office Inspections

This MIAX Options Regulatory Circular dated February 14, 2023 (MIAX Options Regulatory Circular 2023-08) updates and supersedes, in its entirety, [MIAX Options Regulatory Circular 2021-05](#), [MIAX Pearl Regulatory Circular 2021-03](#), and [MIAX Emerald Regulatory Circular 2021-03](#) dated January 19, 2021.

This Regulatory Circular is to inform MIAX Exchange Members that a proposed rule change to amend all three Exchanges' Rule 1308, Supervision of Accounts, has become effective, pursuant to a notice recently issued by the Securities and Exchange Commission ("SEC").

The change to Rule 1308(d), for all three exchanges, would provide that Members have the option to conduct remotely those inspections described in Rule 1308(d)(5) through the earlier of the effective date of the FINRA pilot program on remote inspections if approved, or December 31, 2023.

MIAX Options' Rule 1308, as amended, is incorporated by reference into the MIAX Pearl and MIAX Emerald Rule Books, and thus are MIAX Pearl and MIAX Emerald Rules applicable to MIAX Pearl and MIAX Emerald Members. MIAX Pearl and MIAX Emerald Members are required to comply with MIAX Options Rule 1308, as amended, as though such rule was fully set forth in the MIAX Pearl and MIAX Emerald Rule Books.

MIAX Exchange Group encourages all Members to review these changes in their entirety, which can be found in the rule filing, [SR-MIAX-2023-04](#).

Contact MIAX Trading Operations at TradingOperations@MIOXOptions.com or (609) 897-7302 with any questions about the changes.

Regulatory inquiries should be directed to Regulatory@MIOXOptions.com or (609) 897-7309.